IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CAMPOLICANT(s): Wei-Tse HSU

Serial No.: 10/714,925 Group: unknown

) Examiner: unknown Filing Date: November 17, 2003

> (as amended) ) Our ref.: B-5298 621492-4

For: "OPTICAL DRIVE WITH SWITCHING

) Date: December 5, 2003 SPEEDS AND SWITCHING METHOD

EXPEDITED PROCEDURE

THEREOF"

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

PETITION UNDER 37 C.F.R. § 1.10

The subject application was submitted pursuant to the Express Mail Procedure of 37 CFR 1.10. The application was deposited with the United States Postal Service (USPS) in an Express Mail envelope with sufficient postage for Express Mailing on November 17, 2003 pursuant to 37 CFR 1.10, but the returned postcard (copy enclosed) indicates that the United States Patent and Trademark Office (USPTO) considered the filing date to be November 18, 2003.

Enclosed is a copy of the new application transmittal letter from our files. The new application transmittal letter contains a Certification of Express Mailing Date under 37 CFR 1.10 and proper reference to Express Mail Label Number EV301025157US as required by Section 1.10. This information is also contained in the files of the United States Patent and Trademark Office.

Avelyne Malonzo, the person mailing the papers pursuant to 37 CFR 1.10, has signed a verified statement that the new application was deposited with the USPS in an Express Mail envelope with sufficient postage for Express Mailing on November 17, 2003. signed verified statement signed by Avelyne Malonzo is attached.

Petition Under 37 C.F.R. 1.10 U.S. Patent Appln. No. 10/714,925 Page 2

Also attached are copies of the Express Mail Label Number EV301025157US returned from the USPS and the receipt from the USPS Bicentennial Station which also indicates the Express Mail Label Number. It should be noted that the "sign in" clerk at the USPS indicated the "date in" to be November 17, 2003 on the Express Mail Label.

Applicant has complied with the requirements of 37 CFR 1.10 and is entitled to a filing date of November 17, 2003. Therefore, please update all of the records in the USPTO to indicate that the filing date of the above-identified application is November 17, 2003; and please advise us in writing that the USPTO considers

## November 17, 2003

to be the filing date of the above-identified U.S. application. This correction is not due to any error by the Applicant; therefore, no official fee should be due. The Commissioner is authorized to charge any additional fees which may be required or credit overpayment to deposit account no. 12-0415.

Respectfully submitted,

Richard P Berg Reg. No. 28,145

Attorney for Applicant

LADAS & PARRY

5670 Wilshire Boulevard, #2100 Los Angeles, California 90036 (323) 934-2300

Enclosures: copy of returned postcard

Verified Statement signed by Avelyne Malonzo copy of new application transmittal letter copy of the Express Mail Receipt from the USPS with copy of receipt from USPS Bicentennial Station

Petition Under 37 C.F.R. 1.10 U.S. Patent Appln. No. 10/714,925 Page 3

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to:

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313, on December 5, 2003

By: July Wally

Partion Under 37 C.F.R. 1.10 U.S. Patent Appln. No. 10/714,925 Page 4

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Wei-Tse HSU

Serial No.: 10/714925 ) Group: unknown

Filing Date: November 17, 2003 ) Examiner: unknown

(as amended)

) Our ref.: B-5298 621492-4

) Date: December 5, 2003

For: "OPTICAL DRIVE WITH SWITCHING

SPEEDS AND SWITCHING METHOD

THEREOF"

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

### VERIFICATION

I am the person who mailed the above-identified application pursuant to 37 CFR. 1.10 on November 17, 2003; and I verify that the subject application with all of the items required under 35 U.S.C. 371 were deposited with the United States Postal Service in an Express Mail envelope with sufficient postage for Express Mailing on November 17, 2003.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: December 5, 2003

Avelvne Malonzo

	<u>c</u>		
To: USPD (aem)		Our reference:	
FROM: COMMISSIONER OF THE	PATENTS	B-5298 621492-4	
THE PATENT AND TRADEMARK			
MAIL ROOM STAMP HEREON AC		Date mailed:	
RECEIPT OF: New U.S. Patent Applr		November 17, 2003	
Transmittal letter with Certification un		140 vember 17, 2005	
		Everess Mail No.	
(3 pages); check no. 18029 in the am		Express Mail No.:	
13 pages of Specification, 5 pages of		EV301025157US	
Abstract; Declaration (6 pages); Power			
(2 pages); 4 Sheets of formal drawing	s (Figs. 1-2, 3a-3b); Cl	aim to Priority (1 page)	
with Certified Copy of Taiwanese Ap	<u>plication No. 91133955</u>	: Assignment Cover	
Sheet (1 page), Assignment documen	t (1 page), and Check I	No. 18030 for \$40.00;	
and this postcard.	16834 U.S. PTO		
	10/714925		
IN CONNECTION WITH:	10//14923		
Wei-Tse HSU		STAMP	
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FOR: "OPTICAL DRIVE WITH S	WITCHING		
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**Customer Copy** Label 11-F June 2002 Post Office To Address e UNITED STATES POSTAL SERVICE ® DELIVERY (POSTAL USE ONLY) Flat Rate Envelope □рм Time □ AM □ PM Mo. Day Time □ AM PM Int'l Alpha Country Code COD Fee 2300 TO: (PLEASE PRINT) LAUAS & PARRY 5670 WILSHIRE LOS ANGELES MAILSTOP PATENT A COMMISSIONER FOR PU BOX 1450 ALEXANDRIA APPLICATIONS PATENTS SLVO 2100 90036 22313-1450 B-5298 621492-4 /aemi FOR PICKUP OR TRACKING CALL 1-800-222-1811

Paid by: Product Description Total: LEXANDRIA VA 22313 11/17/2003 EXANDRIA VA 22313 All sales final on stamps and postage.
Refunds for guaranteed services only.
Thank you for your business. Customer Postage ustomer Postage )elivery lustomer Postage serial Number erial Number erial Number al Number 1000500648893 17 BICENTENNIAL LOS ANGELES, ( 0545300048-0095 (323)933-5953 Sale Qty Sales Normal EV336608799US EV301025885US Norma Norma Receip Unit Price STATION 06:03:03 \$17.85 \$13.65 \$0.00 Price Final

# Small Entity Statement [ ] Verified statement that this is a filing by a small entity under 37 CFR 1.9 and 1.27. Filing Fee Calculation (50% of above) NOTE: If a verified statement is filed within 2 months of the date of payment of first fee then the excess fee paid will be refunded on request. Notice of January 20, 1983. 1027 TMOG 114. 7. Fee Payment Being Made At This Time [ ] Not Enclosed [ ] No filing fee is submitted. This and the surcharge required by 37 CFR 1.16(e) can be paid subsequently. NOTE: Where the filing is a completion in the U.S. of an international application the fee must be paid.

[X] Enclosed

[ ] recording assignment	\$ <u>770.00</u>	
[ ] petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached.  37 CFR 1.47 and 1.17 (h)		

Total fees enclosed

\$ 770.00

## . 8. Method of Payment of Fees

- [X] check in the amount of \$ 770.00
- [ ] charge account No. 12-0415 in the amount of \$ A duplicate of this transmittal is attached.

Fees should be itemized is such a manner that it is clear for which purpose the fees are paid. 37 CFR 1.22(b).

## 9. Authorization to Charge Additional fees

- [X] The Commissioner is hereby authorized to charge the following additional fees which may be required to Account No. 12-0415:
  - [X] 37 CFR 1.16 (filing fees and presentation of extra claims)
  - [X] 37 CFR 1.17 (application processing fees)
  - [ ] 37 CFR 1.18 (issue fee at or before Mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b))

37 CFR 1.28(b) requires "Notification of any change in loss of entitlement to small entity status must be filed in the application...prior to paying... issue fee".

#### 10. Instructions As To Overpayment

[X] Credit Account No. 12-0415 [ ] refund

c/o LADAS & PARRY 5670 Wilshire Boulevard Suite 2100 Los Angeles, California

90036-5679

Telephone: (323) 934-2300 Telefax: (323) 934-0202

ichard P Berg ttome 0/14925 on 13-02-2004 Copied

Reg. No. 28,145

OIP Express Mail Number: EV301025157US

Docket No. B-5298 621492-4

Date: November 17, 2003

Maj Stop Patent Application

PADE Memissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

DEC 1 0 2003

### NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s):

(1) Wei-Tse HSU

NOTE:

Patent must be applied for in the name of all

of the actual inventor or inventors.

For: "OPTICAL DRIVE WITH SWITCHING ROTATIONAL SPEEDS AND SWITCHING

METHOD THEREOF"

Enclosed are:

## 1. The Papers Required For Filing Date Under 37 CFR 1.53(b):

13 Pages of specification 1 Page of abstract 5 Pages of claims

4 Sheets of drawings [X] formal [] informal (Figs. 1-2, 3a-3b)

[X] In addition to the above papers there is also attached

[ ] \_\_\_ Pages of a Preliminary Amendment dated \_\_\_\_

[X] Postcard

i.

[X] Check for filing fee in the amount of \$ 770.00

[X] Declaration (6 pages)

[X] Power of Attorney by Assignee of Entire Interest (2 pages)

[ ] Verified Statement Claiming Small Entity Status--Small-Business Concern (1 page)

[ ] Cross-Reference To Related Application(s) (1 page)

[X] Claim to Priority (1 page) with

[X] Certified Copy of Taiwanese Application No. 91133955

[ ] Information Disclosure Statement (2 pages) and Form PTO-1449 (modified) (1 page), with copies of documents cited in Form PTO-1449 (modified)

[X] Assignment Cover Sheet (1 page), Assignment document (1 page), and Check for \$40.00

## CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this paper and the documents referred to as enclosed therein are being deposited with the United States Postal Service in an Express Mail envelope with sufficient postage for Express Mailing on this date <u>November 17, 2003</u> in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number <u>EV301025157US</u> addressed to the:

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Avelyne Malonzo
(Typed or printed name of person mailing paper)

(Signature of person mailing paper) Copied from 10/14925 on 13-02-2004

NOTE: <u>Each</u> paper or fee referred to as enclosed herein has the number of the "Express Mail" mailing label

## 2. Declaration or oath

[X] Enclosed

[X] original [ ] facsimile

executed by:

- [X] inventor(s)
- [ ] legal representative of inventor(s) 37 CFR 1.42 or 1.43

; · i

41.

- [ ] joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached. 37 CFR 1.47.
  - [ ] petition and statement required by 37 CFR 1.47 also attached. See item 7 below for fee.
- [ ] Not Enclosed
  - [ ] Application is made by a person authorized under 37 CFR 1.41(c) on behalf of all of the above named inventor(s). The declaration or oath, along with the surcharge required by 37 CFR 1.16(e) can be filed subsequently.
  - [ ] Showing that the filing is authorized. (Not required unless called into question. 37 CFR 1.41(d)).

NOTE: Where the filing is a completion in the U.S. of an international application under 35 U.S.C. 371(c)(4) then the declaration <u>must</u> be filed.

### 3. Assignment

[X] An assignment of the invention to BENQ CORPORATION (with separate cover sheet and separate check for \$40.00)

### 4. Certified Copies

[X] A certified copy of Taiwanese Application Number 91133955 from which priority is claimed.

NOTE: Must be referred to in oath or declaration. 37 CFR 1.55 and 163.

## 5. Fee Calculation

CLAIMS	AS FILED		
Number Filed	Number Extra	Rate	Basic Fee \$ 770.00
Total Claims 15 -20=	0 x	\$ 18.00	. 0
Independent Claims 2 -3=	0 x	\$ 86.00	0
Multiple Dependent Claim(s), If Any	0 x	\$290.00	0

- [ ] Amendment canceling extra claims enclosed
- [ ] Amendment deleting multiple dependencies enclosed
- [ ] Fee for extra claims is not being paid at this time

NOTE: If the fee for extra claims are not paid on filing they must be paid or the claims canceled by amendment, prior to the expiration of the time period set for response by the Patent and Trademark Office in any notice of fee deficiency, 37 CFR 1.16(d).

Filing Fee Calculation \$ 770.00 Copied from 10/14925 on 13-02-2004

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